**Date Completed:** Click or tap to enter a date. **Dated Updated:** Click or tap to enter a date.

Your research project has been identified as having Controlled Unclassified Information (CUI). In addition, your contract contains DFARS Clause 252.204-7012 that mandates data protection pursuant to the controls found in the National Institute of Standards and Technology (NIST) Special Publication 800-171.

Carefully complete the required information below. As the Principal Investigator (PI), you are ultimately responsible for complying with all contract terms and federal regulations, which include safeguarding your data.

*There are significant criminal and civil penalties for breach of contract and export regulations. Penalties for violating export regulations can include monetary damages up to $1 million dollars and criminal penalties, including jail time (up to 10 years) for individual researchers.  In addition to these penalties, UCONN can lose federal funding and suffer damage to its reputation.*

|  |
| --- |
| Co PI Name: Click here to enter name Co PI Email: Click here to enter name  Co PI Phone #: Click here to enter name Co PI Department: Click here to enter name |

# Background

1. PI Name: Click or tap here to enter text.
2. PI Email Address: Click or tap here to enter text.
3. PI Phone Number: Click or tap here to enter text.
4. PI Department: Click or tap here to enter text.
5. CO PI Name: Click or tap here to enter text.
6. CO PI Email Address: Click or tap here to enter text.
7. CO PI Phone Number: Click or tap here to enter text.
8. CO PI Department: Click or tap here to enter text.
9. Title of Research Project: Click or tap here to enter text.
10. Project Description:

Click or tap here to enter text.

1. OVPR Project Number or Award? Click or tap here to enter text.
2. Project Start Date: Click or tap to enter a date.
3. Project End Date: Click or tap to enter a date.
4. Is this a continuation of a previous award?  Yes  No
5. Sponsor Name: Click or tap here to enter text.

# Project Information

1. Please list all individuals working on this project. Include the individuals who will be providing IT support.

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| **Name** | **Institution** | **Role** | **Country of Citizenship** | **Country of Origin** | **Immigration Status** |
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1. Do your researchers log in with a UConn NetID?  Yes  No
   1. If not, is there a reason why you cannot use a UConn NetID?

Click or tap here to enter text.

1. How does your project receive data from your sponsors?
   1. Describe current solution

Click or tap here to enter text.

b. Outline next steps to propose changes to meet NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. How do you share data with your sponsor?
   1. Describe current solution

Click or tap here to enter text.

b. Outline next steps to propose changes to meet NIST 800-171 requirements..

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. How does your project store data and what is the aggregate size of your data currently?
   1. Describe current solution

Click or tap here to enter text.

b. Outline next steps to propose changes to meet NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. How do you share data with your team?
   1. Describe current solution

Click or tap here to enter text.

b. Outline next steps to propose changes to meet NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. Where do you perform your work?
   1. Describe current solution

Click or tap here to enter text.

b. Outline next steps to propose changes to meet NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. Is there specific software you use to perform your research? Please list specific versions you are using and whether you are required to use a specific version.
   1. Describe current solution

Click or tap here to enter text.

c. Outline next steps to propose changes to meet NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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1. Do you have specific operating system requirements?

Click or tap here to enter text.

10. **Physical Space – If your project requires using physical hardware that will be stored on site, please complete this section. Otherwise, skip to #11.**

a. Do you have a dedicated lab where participants work?  Yes or  No

i. Building Name Click or tap here to enter text.

ii. Street Address, Town, State Click or tap here to enter text.

b. Describe the physical security controls of the lab, i.e. cameras, locks, required badge access.

Click or tap here to enter text.

c. Outline next steps to propose changes to meet NIST 800-171 requirements..

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| **Description of Task** | **Responsible Party – UITS or PI** |
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11**. Computers & Devices** – Please identify all university owned or personally owned computer systems you use to conduct University business and store data.

a. Current Hardware

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| --- | --- | --- | --- | --- |
| **Researcher Name** | **Device Type**  Servers, Laptop, Desktop, PDA, etc. | **Host name** | **Operating System**  Mac | Windows | Linux | **Physical or Virtual** |
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b. Proposed Hardware

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| **Researcher Name** | **Device Type**  Servers, Laptop, Desktop, PDA, etc. | **Host name** | **Operating System**  Mac | Windows | Linux | **Physical or Virtual** |
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12. **Media Protection** – UITS performs backups of NetApp data. If UITS will not perform backups, please describe the backup methodology for each system listed above.

|  |  |  |  |
| --- | --- | --- | --- |
| **machine name** | **Type and Location**  Departmental Network Backup, Local Tape, Local DVD, etc.? | **Schedule for Backup**  Daily, Weekly, Irregularly? | **Amount**  Size in Gb |
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13. Does your research require High Performance Computing?  Yes  No

14. **Communications**

A. Describe how you communicate to your sponsor

Click or tap here to enter text.

B. Describe how you communicate with your team

Click or tap here to enter text.

C. Describe next steps to become compliant with NIST 800-171 requirements.

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| **Description of Task** | **Responsible Party – UITS or PI** |
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15. Describe how you use your data.

Click or tap here to enter text.

16. Provide any additional information regarding your project not detailed on this form.

Click or tap here to enter text.